



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No.: WASH5920)
or: OPTICAL WAVEGUIDE AND METHOD OF FABRICATING THE SAME))) <u>INFORMATION DISCLOSURE</u>) <u>STATEMENT</u>
fled: March 26, 2004) Customer No.: 22430
Serial No.: 10/810,392	Confirmation No.: 8602
Naoki Hanashima and Reio Mochida) Art Unit: 2874
In re the Application of) Examiner: Not Assigned

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants wish to bring to the attention of the Patent Office the references listed on the attached form PTO/SB/08A and request them to be considered by the Examiner. A copy of reference number 4 is enclosed herewith. The other references cited on the attached are U.S. patent references and, therefore no copies are enclosed. Also enclosed is a copy of the European Search Report prepared on September 10, 2004, in the corresponding European application.

This information disclosure statement is being filed under 37 C.F.R. §1.97(b)(3), therefore, no fee is due with this communication.

Respectfully submitted,

Date: Nov 2, 2004

Alan W. Young

Attorney for Applicant Registration No. 37,970

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CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.8

I hereby certify that these papers are being deposited with the United States Postal Service as first class mail with sufficient postage addressed to Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on November 2, 2004.

Nita J. Miller

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/810,392	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Filing Date	March 26, 2004	
	First Named Inventor	Naoki HANESHIMA	
	Art Unit	2874	
	Examiner Name	Not Assigned	

Sheet 1

Attorney Docket Number WASH5920

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 2002/039474-A1	04/04/2002	Arnd Kilian et al.	
_	2	^{US-} 2003/152353-A1	08/14/2003	Tadao Inoue et al.	
	3	^{US-} 5,904,491	05/18/1999	Jonathan Paul Stagg et al.	
		US-			
		US-	-		
		US-			
		US-	- 		
		US-			

FOREIGN PATENT DOCUMENTS					
Cite No.1	te Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
	Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY			T⁵
4	JP-10142436-A	05/29/1998	Mitsubishi Cable Ind. Ltd.		
					L

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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